



The States of Liptako-Gourma Integrated Development Authority (LGA)

Community-Based Recovery and Stabilization Project for the Sahel (P173830)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) INCLUDING SEP

Negotiated version
April 29, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)
Community-Based Recovery and Stabilization Project for the Sahel (PCRSS) (P173830)

1. The States of Liptako-Gourma Integrated Development Authority (LGA) (hereinafter referred to as “*the Recipient*”) shall implement the Community-Based Recovery and Stabilization Project for the Sahel (PCRSS) in association with the project Implementation Units of the three concerned countries, Burkina Faso, Mali and Niger, with funding from the International Development Association (IDA) (hereinafter referred to as “*the Association*”).
2. *The Recipient* undertakes to implement the concrete measures and actions for which they are responsible (in particular the activities of sub-component 3a of the PCRSS concerning the strengthening of collaboration between the three national stakeholders and other institutions) to ensure that the Project is implemented in accordance with the national laws and regulations in force regarding environmental and social risk management and in compliance with the Environmental and Social Framework (ESF) of the World Bank.
3. This *Environmental and Social Commitment Plan (ESCP)* is a summary document that sets out these measures and actions for the effective management of the environmental and social risks associated with the activities of the PCRSS.
4. The *Recipient* must also comply with the provisions of any other environmental and social document required under the ESF, and to which reference is made in this ESCP, in particular the *Stakeholder Engagement Plan (SEP)* and Labor Management Procedures (LMP).
5. Through the Project Implementing Unit (PIU) established by the Recipient, the Recipient is responsible for *complying* with all the requirements of this ESCP even when the implementation of specific measures and actions is carried out by one of its affiliated agencies.
6. The implementation of the concrete measures and actions defined in this ESCP shall be monitored by the *Recipient* and reports that the latter shall communicate to *the Bank* in application of the provisions of the ESCP and the conditions of the legal agreement, while *the Association* shall monitor and evaluate the progress and implementation of these concrete measures and actions throughout the implementation of the Project.
7. As agreed by the *Association* and the *Recipient*, this ESCP may be revised from time to time during the implementation of the Project, in a manner that reflects the adaptive management of changes or unforeseen situations that may arise in the course of the project, or in response to an evaluation of the performance of the Project carried out under the ESCP itself. In such situations, *the Recipient* shall agree on any changes with the Bank and revise the ESCP accordingly. Agreement on the modifications made to the ESCP shall be evidenced by an exchange of letters signed between *the Association* and *the Recipient*. The Recipient shall promptly disclose the revised ESCP.
8. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the *Recipient* shall make additional funds available, if necessary, for the implementation of actions and measures to deal with these risks and effects, which may include in particular the environmental, health and safety effects, especially in

relation to Covid-19, and the influx of labor, and also Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH).

9. The following tables reflect the environmental and social commitment of the Recipient within the framework of the PCRSS and present the necessary concrete measures and actions, the timetable for their implementation, the actors responsible and the sources of funding and the deadlines.

MATERIAL MEASURES and ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY / AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>The <i>Recipient</i> shall prepare and submit to <i>the Bank</i> regular monitoring reports on the implementation of the ESCP, which shall provide an update on the environmental, social, health and safety (ESSS) performance of the project; including the status of compliance with the requirements set out in the ESCP.</p> <p>The <i>Recipient</i> must also submit to <i>the Association</i>, at the latter's request, all the monthly monitoring reports submitted by the contracted companies (<i>service providers and subcontractors</i>).</p>	<p>The monitoring reports regarding the status of the implementation of the ESCP shall be prepared and transmitted on a <i>semi-annual</i> basis. A compilation of these reports shall be sent on an <i>annual</i> basis, tarting six months after effectiveness.</p> <p>These reports shall be prepared throughout the implementation phase of the project.</p>	<ul style="list-style-type: none"> ● LGA Executive Secretariat ● PIU Coordinator
B	<p>NOTIFICATION OF INCIDENTS AND ACCIDENTS</p> <p>The <i>Recipient</i> shall immediately report to <i>the Association</i> any incident and / or accident related to the project or having an impact on the project, which is likely to have a significant negative impact on the environment, the affected parties , the public and / or the workers, including incidents of sexual abuse and exploitation (SEA), sexual harassment and forced child labor.</p> <p>The <i>Recipient</i> shall provide sufficient details concerning the incident and / or the accident, indicating the immediate measures taken to remedy it ; including any other information relating to the efforts / measures provided by a company, a subcontractor, supplier or supervising agent, depending on the nature of the case.</p> <p>A report must be prepared regarding the incident and / or the accident, including the measures and actions proposed to prevent this type of incident / accident in the future.</p> <p>A model incident or accident notification form shall be sent to all suppliers and service providers.</p>	<p>Notify <i>the Bank</i> within 48 hours of becoming aware of the incident and / or the accident.</p> <p>This systematic notification system shall remain in effect throughout the life cycle of the Project.</p> <p>Provide a root cause analysis within 10 business days of learning of the incident or accident</p>	<ul style="list-style-type: none"> ● LGA Executive Secretariat ● PIU Coordinator
C	<p>CONTRACTORS MONTHLY REPORTS (SUPPLIERS AND SERVICE PROVIDERS)</p> <p>The <i>Recipient</i> shall require any service providers to provide monitoring reports to the PIU. These monitoring reports shall be sent to <i>the Bank</i>, at its request, by the <i>Beneficiary</i>.</p>	<p>These monitoring reports shall be prepared and transmitted throughout the implementation phase of the Project.</p> <p>The first of these reports shall be prepared and transmitted three months after signing of the contract.</p>	<ul style="list-style-type: none"> ● LGA Executive Secretariat ● PIU Coordinator

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>The <i>Recipient</i> shall establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks</p>	This organizational structure shall be established at the Effective Date and maintained throughout Project implementation	<ul style="list-style-type: none"> • LGA Executive Secretariat
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>The <i>Recipient</i> shall prepare and implement the Labor Management Procedures (LMP)</p>	LMP to be prepared not later than the Effective Date and implemented throughout project implementation	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>The <i>Recipient</i> shall establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Prior to the Effective Date, to be maintained throughout project implementation	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>The LMP shall include necessary measures related to occupational, health and safety (OHS) measures.</p>	To be implemented throughout project implementation	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
Not applicable.			
ESS 4: COMMUNITY HEALTH AND SAFETY			
Not applicable			
ESS N 5: LAND ACQUISITION, LAND USE RESTRICTIONS AND INVOLUNTARY RESETTLEMENT			
Not applicable			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
Not applicable			
ESS 7 : INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
Not applicable			
ESS 8 : CULTURAL HERITAGE			
Not applicable			
ESS 9: FINANCIAL INTERMEDIARIES			
Not applicable			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>PREPARING THE SEP</p> <p>The <i>Beneficiary</i> has prepared a <i>Stakeholder Engagement Plan (SEP)</i>, the main elements of which are included in this ESCP and are as follows :</p> <p>MOBILIZATION ACTIVITIES FOR STAKEHOLDERS ALREADY UNDERWAY :</p> <ul style="list-style-type: none"> • As part of the preparation of the project, numerous meetings and consultations were held with the beneficiary and the various institutions in charge of environmental and social management of the three countries concerned, and covered a wide range of 	Prior to project appraisal and implemented throughout the Project lifecycle.	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator

stakeholders involved in the implementation. implementation of the Project.

- During these consultations, all the information concerning the nature and the objectives of the project were shared and agreed with the participants, in particular concerning the activities where the Beneficiary is the implementing agency (activities of the sub- component 3a).

STAKEHOLDER IDENTIFICATION AND ANALYSIS

- The stakeholders of the activities for which the Beneficiary is responsible are:

At national level:

- The members of the National Steering Committees established at the level of each of the three participating countries. Each National Steering Committee is chaired by a senior representative of the lead ministry. Members may include: (i) relevant sector ministries, (ii) associations of local authorities and (iii) civil society, and (iv) relevant implementing agencies. Other relevant stakeholders, including development and humanitarian agencies and organizations, may be invited to committee sessions as needed.
- The three National Project Implementing Units (PIUs) to be hired at project effectiveness, comprising around ten managers and technicians and a variable number of short-term consultants;
- The staff of a Consultancy firm (about 40 people) who shall be involved in the implementation of certain activities;
- The staff of an experienced design office (6 people) to support the process of co-creation and development of the planned software.

At the regional level:

- Members of the Regional Committee of Control established at the central level, consisting of three representatives from each of the participating countries and to are representatives of the respective line ministries of the respective countries, project coordinators and a representative of the local authorities by country;
- Regional institutions (in charge of collecting, analyzing and sharing information, as well as creating the conditions for a partnership within the framework of a regional data center of Liptako-Gourma).
- Representatives of G5 Sahel, the West African Economic and Monetary Union (UEMOA) and the Economic

Community of West African States (ECOWAS) and The Permanent Interstate Committee for Drought Control in the Sahel (CILSS).

STAKEHOLDER ENGAGEMENT PROGRAM

The stakeholder engagement program is based on an inclusive, iterative, continuous and broad-based process that shall bring together project managers and all stakeholders throughout the project cycle on all issues that could potentially affect project and provide the means to achieve it..

The structure of the Stakeholder Engagement Plan has six key elements, : (i) Identification of stakeholders ; (ii) Planning of stakeholder engagement modalities ; (iii) Inclusive stakeholder consultations; (iv) Complaints handling and management system ; (v) Stakeholder involvement in monitoring ; and (vi) Preparation of reports and reports to stakeholders.

Through this program, LGA shall establish and maintain open and constructive relationships with all stakeholders, to facilitate the management of the project activities, including their environmental and social impacts and risks. LGA shall send timely to all stakeholders, understandable, accessible, and appropriate information on the environmental and social risks and effects of project activities.

The implementation of the stakeholder engagement plan shall use the most appropriate tools or means of communication, in particular:

- face-to-face meetings (in compliance with national provisions concerning Covid-19);
- The organization of semi-annual videoconferences on general topics concerning the implementation of the project activities such as NRM management, conflicts resolution, information system utilization for which the Beneficiary is responsible
- extraordinary videoconferences on specific themes.
- Exchanges on the project's website and / or Facebook

RESOURCES AND RESPONSIBILITIES FOR IMPLEMENTING THE SEP

To implement the SEP, the *Beneficiary* shall mobilize all the necessary human resources of the Project Implementing Units and shall use part of the financial resources allocated to sub-component 3a in this regard.

GRIEVANCE MECHANISM

For the activities of the Sub-component 3a of PCRSS, the *Beneficiary* shall be responsible to adequately inform the persons or groups of persons affected by the project about their rights to lodge complaints. For this purpose, the *Beneficiary* shall be responsible for supporting the PIU in the identification, implementation and monitoring of awareness initiatives and dissemination of information that enables stakeholders to prepare and submit their complaints, in accordance with the requirements of ESS 10 and in line with the general grievance mechanism set up under the

	<p>PCRSS including complaints concerning all forms of Sexual Exploitation and Abuse (SEA) and of Sexual Harassment (SH).</p> <p>MONITORING AND REPORTING</p> <p>The <i>Beneficiary</i> shall be responsible for overseeing the entire process of preparing monthly, semi-annual and annual reports of the activities planned under sub-component 3a of the PCRSS. Specifically, these reports shall focus on the level and quality of the participation of the various stakeholders, the measures to prevent and mitigate any risks encountered in the implementation of the SEP and the role of external evaluators.</p>		
10.2	<p>IMPLEMENTATION OF THE SEP</p> <p>The SEP, including complaints and / or grievance management mechanism and an inclusive communication plan, may be modified and updated (and redisclosed accordingly) as needed during the execution of the Project.</p>	Ongoing during project implementation.	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator
10.3	<p>PROJECT GRIEVANCE MECHANISM (GM) & INFORMATION DISSEMINATION</p> <p>The Beneficiary shall prepare, adopt, and implement the Project Grievance Management Mechanism. More specifically :</p> <ul style="list-style-type: none"> • Prepare, implement and maintain a project GRM including a mechanism to receive complaints relating to SEA / SH consistent with the requirements of ESS10 ; • Prepare and implement <i>a detailed communication and awareness plan</i> on the GM that can be used across all participating countries, with the aim of ensuring that all stakeholders are effectively consulted, clearly sensitized, shall receive information on the Project and the existence of this SEP and shall have easy access as well as a good understanding / mastery of the procedures for submitting their complaints / grievances. 	<p>Prior to project appraisal and implemented throughout the project cycle.</p> <p>The detailed communication and awareness plan shall be disclosed not later than 30 days after the Effective Date and updated, whenever necessary.</p>	<ul style="list-style-type: none"> • LGA Executive Secretariat • PIU Coordinator
TYPE OF TRAINING		TARGET GROUPS / TIMELINE	
<p>Awareness and training / capacity building sessions shall be organized on the following topics:</p> <ul style="list-style-type: none"> • General ESS-related issues • SEA/SH: Prevention, response, mitigation, development and implementation of the Action Plan, including codes of conduct, HIV-AIDS, etc. • Awareness and information measures on SEA/SH procedures and OHS • Content and implementation of the activities • Management and monitoring of interventions by third parties. • Identification and mobilization of stakeholders • Specific aspects of environmental and social assessment • GRM and Communication and Awareness. • Health and safety, Forced labor, waste management (<i>standard, liquid, hazardous, etc.</i>), etc. • Emergency preparedness and response 		<ul style="list-style-type: none"> • PIU staff <p>During the first semester of Project implementation</p>	

